

09870221 09/08/0221

3618 Rec'd PCT/PTO 2 3 APR 2001
(Atty. Docket No.: HKZ-034CPUS) #11/A

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/US99/24769, Publication No. WO 00/24428)

In re the
application of: Joel R. HAYNES, et al.

International Application No.: PCT/US99/24769
(Publication No. WO 00/24428)

International Filing Date: 22 October 1999

U.S. Serial No.: Not Yet Assigned

Filed: Herewith

For: CATIONIC LIPID-MEDIATED
ENHANCEMENT OF NUCLEIC ACID
IMMUNIZATION OF CATS

Attorney Docket No.: HKZ-034CPUS

BOX PCT

Commissioner for Patents
Washington, DC 20231

CERTIFICATION UNDER 37 CFR 1.10

Date of Deposit: April 23, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and addressed to the Commissioner for Patents, Washington, DC 20231.

Viriato G. Cardoso
Name of Person Mailing Paper

Viriato G. Cardoso
Signature of Person Mailing Paper

FIRST PRELIMINARY AMENDMENT

Dear Sir:

Preliminary to examination of the above-referenced patent application, please amend the enclosed above-titled International patent application as follows.

In the Claims:

Please cancel claim 19 without prejudice.

REMARKS

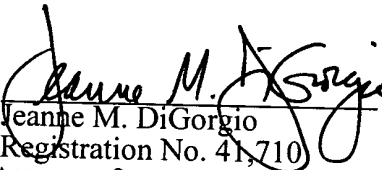
Claims 1-27 were pending in the above-identified International application. Claim 19 has been canceled to reduce the number of claims and to remove multiple dependencies. Accordingly, claims 1-18 and 20-27 are currently pending in this application. The foregoing amendment introduces no new matter.

Entry of the foregoing First Preliminary Amendment is respectfully in order and requested.

If there are any questions regarding the amendments to the application, we invite the Examiner to call Applicants' representative at the telephone number below.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


Jeanne M. DiGorgio
Registration No. 41,710
Attorney for Applicants

28 State Street
Boston, MA 02109
Tel: 617-227-7400
Fax: 617-742-4214

Date: April 23, 2001